Express Mail Label No.: EM067770120US

Date of Deposit: April 16, 2009

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS:

Nadler, et al.

SERIAL NUMBER:

09/830,400

EXAMINER:

Amy E. Juedes

FILING DATE:

July 20, 2001

ART UNIT:

1644

For:

APR 1 6 2009

CANCER IMMUNOTHERAPY AND DIAGNOSIS USING UNIVERSAL

TUMOR ASSOCIATED ANTIGENS, INCLUDING HTERT

# Mail Stop Amendment

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

#### TRANSMITTAL LETTER

Enclosed herewith for filing in the above-identified application please find the following documents:

- 1. Amendment and Response to October 16, 2008 Office Action (5 pgs.)
- 2. Petition for Extension of Time (1 pg.)
- 3. Check No. 26167 in the amount of \$555.00 for Extension fee;
- 4. Supplemental Information Disclosure Statement (2 pages);
- 5. Modified Form 1449/PTO (7 pages);
- 6. Copies of cited references: B6; C5-C137;
- 7. Check No. 26168 in the amount of \$180.00 for Information Disclosure Statement; and
- 8. Return Postcard.

Although Applicants believe no additional fees are due with this submission, the Commissioner is hereby authorized to charge any fee that may be due to Deposit Account No. 50-0311, Reference No. 20363-015 NATL.

Amendment and Response U.S. Serial No.: 09/830,400 Page 2

If the enclosed papers are considered incomplete, the Mail Room is respectfully requested to contact the undersigned collect at (617) 542-6000, Boston, Massachusetts.

Respectfully submitted,

V

Ivor R. Elrifi, Reg. No. 39,529 Cynthia A. Kozakiewicz, Reg. No. 42,764 Attorney for Applicant

c/o MINTZ LEVIN

Tel: 617-542-6000 Fax: 617-542-2241 Customer No. 30623

Date: April 16, 2009

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Express Mail Label No.: EM067770120US Attorney Docket No.: 20363-015 NATL

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#### SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Pursuant to the duty of disclosure under 37 C.F.R. §§1.56, 1.97 and 1.98, Applicants hereby make of record the documents listed on the attached modified Form PTO-1449, as well as copies of the listed documents.

This Supplemental Information Disclosure Statement is being filed after the mailing date of the first Office Action, but before the mailing date of either a final action under 37 C.F.R. §1.113 or a Notice of Allowance under 37 C.F.R. §1.311. The fee of \$180.00 as set forth in 37 C.F.R. §1.17(p) is enclosed. By the waiver of 37 CFR 1.98(a)(2)(ii) a copy of the U.S. Patent A4 is not submitted.

It is respectfully requested that the Examiner consider completely the cited information, along with any other information, in reaching a determination concerning the patentability of the present claims, and sign the enclosed form PTO-1449 to evidence that the cited information has been fully considered by the Patent and Trademark Office during the examination of this application.

By submitting this Supplemental Information Disclosure Statement, the Applicants make no representation that: (1) a search has been performed, of the extent of any search performed, or that more relevant information does not exist; (2) the information cited in the Statement is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56(b); and (3) the information cited in the Statement is, or is considered to be, in fact, prior art as defined by 35 U.S.C. §102.

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APPLICANT: Nadler et al. U.S.S.N.: 09/830,400

Notwithstanding any statements by the Applicants, the Examiner is urged to form his/her own conclusion regarding the relevance of the cited information. An early and favorable action is hereby requested.

Please charge any fees that may be due, or credit any overpayment of same, to Deposit Account No. 50-0311, Reference No. 20363-015 NATL.

Respectfully submitted,

Ivor R. Elrifi, Reg. No. 39,529

Cynthia A. Kozakiewicz, Reg. No. 42,764

Attorneys for Applicants

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